Docket No.: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as fill class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. Date:\_ April 6, 2000 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant Hansjorg Reichert et al.

Appl. No.

09/483,737

Filed

January 14, 2000

Title

Method and Apparatus for Producing a Chip-Substrate Connection

Art Unit

2811

### LETTER

Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the continuing information has been listed incorrectly and should be listed as:

THIS APPLN IS A CON OF

PCT/DE98/01737

06/24/98

The priority information has been listed incorrectly and should be listed as:

**FED REP GERMANY** 

197 30 118.5

07/14/97

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct priority and continuing information.

Respectfully submitted,

RALPH E.LOCHER REG NO. 41,947

/av

Date: April 6, 2000

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GR 97 P 1903



### METHOD AND APPARATUS FOR PRODUCING A CHIP-SUBSTRATE CONNECTION

### 5 Cross-Reference to Related Application:

This is a continuation of copending International Application PCT/DE98/01737, filed June 24, 1998, which designated the United States.

### 10 Background of the Invention:

#### Field of the Invention:

The invention relates to a method and an apparatus for producing a chip-substrate connection by alloying or braking, using a solder with a two metal-containing constituents X and Y, the first constituent X containing in particular gold or a similar precious metal. The invention furthermore relates to a solder for the production of a chip-substrate connection, and to a semiconductor component with a semiconductor chip which is secured to a substrate by alloying or brazing.

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When a rear side of a semiconductor chip is joined to a substrate, which is usually referred to as chip or die bonding, the requirements with regard to sufficient mechanical fixing and also good thermal and electrical conductivity must be fulfilled individually or jointly, depending on the application. An important factor is the compatibility of the





Docket No.: GR 97 P 1903

# COMBINED DECLARATION AND POWER OF ATTORNEY IN ORIGINAL APPLICATION

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# METHOD AND APPARATUS FOR PRODUCING A CHIP-SUBSTRATE CONNECTION

described and claimed in the specification bearing that title, that I understand the content of the specification, that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve month prior to this application, that I acknowledge my duty to disclose information of which I am aware which Is material to the examination of this application under 37 C.F.R. 1.56a, and that no application for patent or inventor's certificate of this invention has been filed earlier than the following in any country foreign to the United States prior to this application by me or my legal representatives or assigns:

German Application No. 197 30 118.5, filed July 14, 1997, the International Priority of which is claimed under 35 U.S.C. §119; and International Application No. PCT/DE9B/01737, filed June 24, 1998, the Priority of which is claimed under 35 U.S.C. §120.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

HERBERT L. LERNER (Reg.No.20,435)
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# CORRECTED FILING RECEIPT

\*OC00000005016273\*

### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
09/483,737	01/14/2000	2811	690	GR-97-P-1903	1	16	3	j

Lerner And Greenberg PA P O Box 2480 Hollywood, FL 33022-2480

Date Mailed: 03/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hansjorg Reichert, Munchen, GERMANY; Margarete Deckers, Munchen, GERMANY; Rainer Zanner, Munchen, GERMANY;

**Continuing Data as Claimed by Applicant** 

ACTION LY KILL

MAR 3 0 2000

**Foreign Applications** 

GERMANY 197 30 118.5 07/14/1997 PCT PCT/DE98/01737 01/14/1997 ---

06/24/1498

If Required, Foreign Filing License Granted 02/25/2000

Title

Method And Apparatus For Producing A Chip-Substrate Connection

PCT Should be here

**Preliminary Class** 

257

Data entry by: NIGRO, LOREN

Team: OIPE

Date: 03/29/2000





# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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#### **NOT GRANTED**

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### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title
  of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
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- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231